Novel Constructions of Improved Square Complex Orthogonal Designs for Eight Transmit Antennas

Le Chung Tran, Senior Member, IEEE, Tadeusz A. Wysocki, Senior Member, IEEE, Jennifer Seberry, Senior Member, IEEE, Alfred Mertins, Senior Member, IEEE, and Sarah Spence Adams, Member, IEEE

Abstract—Constructions of square, maximum rate complex orthogonal space-time block codes (CO STBCs) are well known, however codes constructed via the known methods include numerous zeros, which impede their practical implementation. By modifying the Williamson and Wallis-Whiteman arrays to apply to complex matrices, we propose two methods of construction of square, order-4n CO STBCs from square, order-n codes which satisfy certain properties. Applying the proposed methods, we construct square, maximum rate, order-8 CO STBCs with no zeros, such that the transmitted symbols are equally dispersed through transmit antennas. Those codes, referred to as the improved square CO STBCs, have the advantages that the power is equally transmitted via each transmit antenna during every symbol time slot and that a lower peak-to-average power ratio (PAPR) is required to achieve the same bit error rates as the conventional CO STBCs with zeros.

Index Terms-Amicable orthogonal designs (AOD), Complex orthogonal space-time block codes (CO STBCs), multiple-input multiple-output (MIMO), orthogonal design, peak-to-average power ratio (PAPR), space-time block code (STBC).

I. INTRODUCTION

▼ OMPLEX orthogonal space-time block codes (CO • STBCs) have been intensively examined, as they provide large transmit diversity and increase the capacity of wireless channels, while requiring a very simple maximum likelihood (ML) decoding method [3]–[7]. A $p \times n$ CO STBC over k variables is corresponding to n transmit (Tx) antennas, decoding delay (or memory length) of p, rate R = k/p and is denoted as [p, n, k] CO STBC. Given n and R, the goal is to minimize the decoding delay p. Hence, square CO STBCs are particularly interesting because they require the minimum

Manuscript received July 13, 2004; revised November 24, 2008. Current version published September 23, 2009. The material in this paper was presented in part in Complex Orthogonal Space-Time Processing in Wireless Communications (New York: Springer-Verlag, 2006) and at the 16th IEEE International Symposium on Personal Indoor and Mobile Radio Communications (PIMRC'05).

L. C. Tran and J. Seberry are with the University of Wollongong, Wollongong 2522 NSW, Australia (e-mail: lechung_tran@uow.edu.au; jennie@uow. edu.au).

T. A. Wysocki is with the University of Nebraska, Lincoln, NE 68508 USA (e-mail: twysocki2@unl.edu).

A. Mertins is with the Institute for Signal Processing, University of Luebeck, D-23538 Luebeck, Germany (e-mail: mertins@isip.uni-luebeck.de).

S. S. Adams is with the Franklin W. Olin College of Engineering, Neehham,

MA 02492 USA (e-mail: sarah.adams@olin.edu).

Communicated by E. Viterbo, Associate Editor for Coding Techniques.

Color version of Fig. 1 in this paper is available online at http://ieeexplore. ieee.org.

Digital Object Identifier 10.1109/TIT.2009.2027489

processing delay (minimum memory length as well) for the same rate and the same number of Tx antennas. Another consideration for practical implementation is the number of zeros in a code. Compared to a code with fewer zeros, a code with more zeros results in a higher peak-to-average power ratio (PAPR), leading to the necessity of the use of circuits with the linear characteristic within a large dynamic range. Otherwise, the received signals may suffer from serious distortion. Having many zeros can also impede practical implementation, especially in high data rate wireless communication systems, since some Tx antennas must be turned off during transmission. Furthermore, it would be more practical if the power of signals can be equally transmitted via each Tx antenna during every symbol time slot (STS). Given the above considerations for CO STBCs, this paper focuses on constructing square CO STBCs with maximum rate, minimum decoding delay, no zero entries, and equal power transmission per Tx antenna during each STS.

The simplest square CO STBCs is the Alamouti code [3], which achieves a rate one for two Tx antennas. In contrast, square CO STBCs for more than two Tx antennas cannot achieve rate one [4], [8], but they can still achieve full diversity for a given number of Tx antennas. Constructions of square CO STBCs for a higher number of Tx antennas, e.g., 4 and 8, have been well examined in literature, such as [4] and [7]. The code \mathbf{Z}_1 in (1), [7] is one of the examples of the conventional square CO STBCs for 8 Tx antennas. The conventional structures yield square CO STBCs of maximum rate, which is, for instance, 1/2for 8 Tx antennas. However, these maximum rate codes have many zero entries, which are undesirable

$$\mathbf{Z}_{1} = \begin{bmatrix} s_{1} & s_{2} & s_{3} & 0 & s_{4} & 0 & 0 & 0 \\ -s_{2}^{*} & s_{1}^{*} & 0 & -s_{3} & 0 & -s_{4} & 0 & 0 \\ -s_{3}^{*} & 0 & s_{1}^{*} & s_{2} & 0 & 0 & -s_{4} & 0 \\ 0 & s_{3}^{*} & -s_{2}^{*} & s_{1} & 0 & 0 & 0 & s_{4} \\ -s_{4}^{*} & 0 & 0 & 0 & s_{1}^{*} & s_{2} & s_{3} & 0 \\ 0 & s_{4}^{*} & 0 & 0 & -s_{2}^{*} & s_{1} & 0 & -s_{3} \\ 0 & 0 & s_{4}^{*} & 0 & -s_{3}^{*} & 0 & s_{1} & s_{2} \\ 0 & 0 & 0 & -s_{4}^{*} & 0 & s_{3}^{*} & -s_{2}^{*} & s_{1}^{*} \end{bmatrix} .$$

$$(1)$$

It is important to clarify that, according to Liang's paper [4], the maximum achievable rate for CO STBCs of orders n =2m - 1 or n = 2m is (see [4, eq. (130)])

$$R_{\max} = (m+1)/2m.$$
 (2)

However, note that this maximum rate is only achievable for *nonsquare* constructions, except for the special case when m =

4439

0018-9448/\$26.00 © 2009 IEEE

1, i.e., when n = 1 or n = 2. For square constructions of orders $n = 2^{a}(2b + 1)$, where a and b are integers, the maximum achievable rate is

$$R_{\max} = (a+1)/2^a(2b+1). \tag{3}$$

When m = 1, (2) and (3) provide the same results. Readers should refer to [4, Corollary 2 and Sec. II-D], or [7, Sec. IV] for more details.

Particularly, for n = 8, i.e., m = 4, a = 3 and b = 0, the maximum achievable rate of *nonsquare* CO STBCs following (2) is 5/8, while the maximum achievable rate of *square* CO STBCs according to (3) is 1/2 only. In Liang's paper, the authors made an *unclear* statement in the abstract that the achievable maximum rate for n = 2m - 1 and n = 2m is (m+1)/2m, but did not state if this maximum rate is achievable by *nonsquare* or *square* constructions. This easily makes readers confused, except when readers go deeply into the Liang's paper.

Square CO STBCs have a great advantage over nonsquare CO STBCs that they require a much smaller length of the codes, i.e., much smaller processing delay, with the consequence of the slightly smaller maximum code rate compared to the achievable maximum code rate of nonsquare CO STBCs. To demonstrate this, let us consider CO STBCs for n = 8 Tx antennas. An example for this case is the nonsquare [112, 8, 70] CO STBC given

in Appendix E in Liang's paper [4], that achieves the maximum rate 5/8 and requires the length of 112 STSs. It was proved later in [9] that the minimal length of complex orthogonal designs (COD) for 8 Tx antennas with the maximal rate 5/8 is 56, rather than 112. This observation has been confirmed by Liang in [10] where the *nonsquare* [56, 8, 35] CO STBC with the maximal rate 5/8 and minimal length 56 has been derived.

As opposed to *nonsquare* CO STBCs, *square* CO STBCs only require the length of 8 STSs to achieve the maximum rate 1/2, which is slightly smaller than the maximum rate of *nonsquare* CO STBCs. Clearly, *square* CO STBCs require a much shorter length, especially for a large number of Tx antennas, with the consequence of a slightly lower maximum code rate. For this reason, in this paper, we only consider *square* CO STBCs.

Square CO STBCs with no zero entries have been proposed in the literature, such as [3] and [6], for orders 2, 4. In [11], from *Amicable Orthogonal Designs* (AODs), we constructed two square, order 8 CO STBCs \mathbb{Z}_2 and \mathbb{Z}_3 [see (4) and (5) at the bottom of the page] with *fewer zeros* than the conventional codes [4], [7]. The background knowledge on AODs can be found in [12]. Later, in [1] and [13], we constructed a square, order 8 CO STBC \mathbb{Z}_4 without any zero, which is given in (6), shown at the bottom of the page, where $j = \sqrt{-1}$.

As pointed out in [1] and [13], the entries $z_{lk}(l = 5, \ldots, 8, k = 1, \ldots, 8)$ of \mathbf{Z}_4 are composed of the real

$$\mathbf{Z}_{2} = \begin{bmatrix} s_{1} & s_{2} & \frac{s_{2}}{\sqrt{2}} & \frac{s_{2}}{\sqrt{2}} & 0 & 0 & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} \\ -s_{2}^{*} & s_{1}^{*} & \frac{s_{4}}{\sqrt{2}} & -s_{1}^{*} + js_{2}^{*} & -s_{1}^{*} + js_{1}^{*} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & 0 & 0 \\ \frac{s_{4}^{*}}{\sqrt{2}} & -\frac{s_{4}^{*}}{\sqrt{2}} & s_{2}^{*} + js_{1}^{*} & -s_{1}^{*} - js_{2}^{*} & \frac{s_{4}}{\sqrt{2}} & 0 & 0 \\ 0 & 0 & \frac{s_{4}^{*}}{\sqrt{2}} & -\frac{s_{4}^{*}}{\sqrt{2}} & 0 & 0 & -\frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & 0 \\ 0 & 0 & \frac{s_{4}^{*}}{\sqrt{2}} & -\frac{s_{4}^{*}}{\sqrt{2}} & 0 & 0 & -\frac{s_{5}}{\sqrt{2}} & -\frac{s_{5}^{*}}{\sqrt{2}} & -\frac{s_{5}^{*}}{\sqrt{2}} \\ \frac{s_{4}^{*}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & 0 & 0 & -\frac{s_{5}}{\sqrt{2}} & -\frac{s_{5}}{\sqrt{2}} & -\frac{s_{5}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}^{*}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & 0 & -\frac{s_{5}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}^{*}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & 0 & -\frac{s_{5}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}^{*}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & 0 & -\frac{s_{5}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}^{*}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & 0 & -\frac{s_{5}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & 0 & -\frac{s_{5}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & 0 & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & 0 & s_{1}^{*} + js_{2}^{*} & -\frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} \\ \frac{s_{4}}{\sqrt{2}} & -\frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}{\sqrt{2}} & \frac{s_{4}}$$

Authorized licensed use limited to: Alfred Mertins. Downloaded on October 19, 2009 at 10:28 from IEEE Xplore. Restrictions apply.

part of one indeterminate and the imaginary part of another indeterminate, e.g., $z_{51} = -s_4^R + js_3^I$. This observation means that if the indeterminates s_1, \ldots, s_4 are chosen from the complex signal constellations where s_i^R or $s_i^I (i = 1, \ldots, 4)$ can be equal to zero, e.g., the QPSK constellation (1, -1, j, -j)then, some of the entries of the matrix \mathbb{Z}_4 can be equal to zero depending on the transmitted data. Therefore, such constellations should be avoided. An example of the constellation where the power is evenly spread among the Tx antennas independently of the transmitted data is the QPSK constellation (1 + j, 1 - j, -1 + j, -1 - j).

The square CO STBC in (6) has the following advantages:

- 1) It is not required to turn off any Tx antenna during transmission, unlike in the conventional CO STBC [4], [7].
- When the indeterminates are chosen from a suitable constellation, Z₄ has no zero entries, hence, it requires a smaller peak power per Tx antenna to achieve the same BER as in the conventional square CO STBCs with zeros [4], [7]. Equivalently, it provides a better BER compared to the conventional square CO STBCs with the same peak power at Tx antennas.

Independently, also based on AODs, Yuen *et al.* [14] constructed a *solitary*, square, order-8 CO STBC with no zeros, which is referred to as G_8 and is given in (7) at the bottom of the page. This square CO STBC has an advantage over our code Z_4 in that it does not require the restriction on signal constellations. However, it is always difficult to construct square CO STBCs based on AODs, especially for those codes of high orders, since various weighting matrices must be incorporated. For instance, to construct a square, *maximum rate* CO STBC of order 8, eight matrices of size 8×8 (four weighting matrices for the real parts of variables and four other weighting matrices for the imaginary parts), which simultaneously satisfy several strong conditions of AODs [14], [12], [15], must be found.

In this paper, by modifying the Williamson and Wallis-Whiteman arrays to apply to complex matrices, we propose two *novel* methods of construction of *square*, order-4n CO STBCs from *square*, order-n codes which satisfy certain properties. Applying the proposed methods, we construct *square*, *maximum rate*, order-8 CO STBCs with no zeros, such that the transmitted symbols equally disperse through Tx antennas. Besides having the maximum rate, the minimal decoding delay, and no zero entries, the resultant codes, referred to as the *improved square CO STBCs*, have the following practical advantages: a) They do not require any restriction on allowable signal constellations; b) It is possible to transmit symbols with equal power for any STS at any Tx antenna; and c) A lower peak power per Tx antenna is required to achieve the same bit error rates as for the conventional CO STBCs with zeros.

As mentioned in more details later in this paper, in order to construct, for instance, 8×8 CO STBCs, the main task in our methods is to find two submatrices of size 2×2 which satisfy certain properties, rather than finding 8 weighting matrices of size 8×8 simultaneously as in the AOD approaches, such as in [14]. More importantly, our methods give a transition from square, order-n CO STBCs satisfying certain properties to square, order-4n CO STBCs. A good reference highly related to the topic of this paper is [16] where their constructions might, in some cases, result in a structure similar to one of the structures mentioned in this paper. However, the structures reported there were gained via AODs while they are constructed, in this paper, via an independent approach, namely the submatrices-based design approach.

The paper is organized as follows. In Section II, we provide definitions and notations used throughout the paper. In Section III, we propose two methods for constructing high-rate, square CO STBCs of order N = 4n from sub-matrices of order n. In Section IV, we use the proposed methods to construct square, *maximum rate*, order 8 CO STBCs, which are superior in several aspects to other known codes to date. Some simulation results are given in Section V. The paper is concluded by Section VI.

II. DEFINITIONS AND NOTATIONS

Our proposed constructions in this paper are based on the following matrices, which are the variations of the Williamson and Wallis-Whiteman arrays mentioned in [12, pp. 121 and 99, respectively], modified to apply to complex matrices

$$\mathcal{O}_{1} = \begin{bmatrix} \mathbf{A} & \mathbf{B} & \mathbf{C} & \mathbf{D} \\ -\mathbf{B} & \mathbf{A} & \mathbf{D}^{*} & -\mathbf{C}^{*} \\ -\mathbf{C} & -\mathbf{D}^{*} & \mathbf{A} & \mathbf{B}^{*} \\ -\mathbf{D} & \mathbf{C}^{*} & -\mathbf{B}^{*} & \mathbf{A} \end{bmatrix}$$
(8)
$$\mathcal{O}_{2} = \begin{bmatrix} \mathbf{A} & \mathbf{B} & \mathbf{C} & \mathbf{D} \\ -\mathbf{B}^{*} & \mathbf{A}^{*} & -\mathbf{D} & \mathbf{C} \\ -\mathbf{C} & \mathbf{D}^{*} & \mathbf{A} & -\mathbf{B}^{*} \\ -\mathbf{D}^{*} & -\mathbf{C} & \mathbf{B} & \mathbf{A}^{*} \end{bmatrix}$$
(9)

where $(\cdot)^*$ denotes the element-wise conjugation if the argument is a matrix or a vector, or simply the complex conjugation if the argument is a complex variable. This means that \mathbf{X}^* of a

$$\mathbf{G}_8 = \begin{bmatrix} s_1^* & s_1^* & s_2 & -s_2 & s_3 & -s_3 & s_4 & -s_4 \\ js_1 & -js_1 & js_2^* & js_2^* & js_3^* & js_3^* & js_4^* & js_4^* \\ -s_2 & s_2 & s_1^* & s_1^* & s_4^* & -s_4^* & -s_3^* & s_3^* \\ -js_2^* & -js_2^* & js_1 & -js_1 & js_4 & js_4 & -js_3 & -js_3 \\ -s_3 & s_3 & -s_4^* & s_4^* & s_1^* & s_1^* & s_2^* & -s_2^* \\ -js_3^* & -js_3^* & -js_4 & -js_4 & js_1 & -js_1 & js_2 & js_2 \\ -s_4 & s_4 & s_3^* & -s_3^* & -s_2^* & s_2^* & s_1^* & s_1^* \\ -js_4^* & -js_4^* & js_3 & js_3 & -js_2 & -js_2 & js_1 & -js_1 \end{bmatrix}.$$

(7)

matrix **X** can be expressed as $\mathbf{X}^* = (\mathbf{X}^H)^T$. We denote $(\cdot)^H$ to be the Hermitian transposition, while $(\cdot)^T$ denotes the transposition (but not conjugate). **A**, **B**, **C** and **D** are $n \times n$, square, orthogonal matrices of complex variables. Hence, \mathcal{O}_1 and \mathcal{O}_2 are $4n \times 4n$ matrices of complex variables.

Let \mathcal{O} be a general notation representing either \mathcal{O}_1 or \mathcal{O}_2 . Define N = 4n and present N as $N = 2^a(2b+1)$, where a and b are integers. Let $\mu(N)$ be the maximum number of variables in \mathcal{O} . It is well known that the maximum number of variables in the square CO STBC of order N is $\mu(N) = a+1$. Readers may refer to [7], [12], or [4, Corollary 2] for more details. Let μ_A , μ_B , μ_C and μ_D be the number of variables in **A**, **B**, **C**, and **D**, respectively.

Let U and \mathcal{I}_U be the set of all variables in \mathcal{O} and the set of all indices of elements in U, respectively. Similarly, let

$$U_{1} = \{s_{A1}, s_{A2}, \dots, s_{A\mu_{A}}\}$$

$$U_{2} = \{s_{B1}, s_{B2}, \dots, s_{B\mu_{B}}\}$$

$$U_{3} = \{s_{C1}, s_{C2}, \dots, s_{C\mu_{C}}\}$$

$$U_{4} = \{s_{D1}, s_{D2}, \dots, s_{D\mu_{D}}\}$$
(10)

be the sets of variables in \mathbf{A} , \mathbf{B} , \mathbf{C} , and \mathbf{D} , respectively, and let \mathcal{I}_{U_i} , for $i = 1, \dots, 4$, be the sets of indices of variables in the submatrices \mathbf{A} , \mathbf{B} , \mathbf{C} , and \mathbf{D} , respectively.

We require that the submatrices A, B, C, and D satisfy

$$\begin{cases} \bigcup U_i = U, & i = 1, \dots, 4\\ \bigcap U_i U_j = \emptyset, & i \neq j \end{cases}$$
(11)

where \emptyset is the empty set. With the condition (11), clearly, if \mathcal{O} comprises the maximum number of variables, we have

$$\mu_A + \mu_B + \mu_C + \mu_D = \mu(N). \tag{12}$$

It is noted that there is no predefined condition on μ_A , μ_B , μ_C and μ_D in order to achieve the upperbound $\mu(N)$. Instead, it is really flexible to select the set of μ_A , μ_B , μ_C and μ_D in order to achieve the equality $\mu_A + \mu_B + \mu_C + \mu_D = \mu(N)$, and a good choice of the set of μ_A , μ_B , μ_C and μ_D will lead to the optimal code structure. Some of such choices will be mentioned later in the examples within this paper.

Since **A** is a matrix on variables $\{s_{A1}, s_{A2}, \dots, s_{A\mu_A}\}$, we define the vector $\mathbf{s}_{\mathbf{A}} = (s_{A1}, s_{A2}, \dots, s_{A\mu_A})$, and write

$$\mathbf{A} = \mathbf{A}(\mathbf{s}_{\mathbf{A}}) = \mathbf{A}(s_{A1}, s_{A2}, \dots, s_{A\mu_A})$$

Similarly, we denote the matrices **B**, **C** and **D** as

$$\mathbf{B} = \mathbf{B}(\mathbf{s}_{\mathbf{B}}) = \mathbf{B}(s_{B1}, s_{B2}, \dots, s_{B\mu_B})$$
$$\mathbf{C} = \mathbf{C}(\mathbf{s}_{\mathbf{C}}) = \mathbf{C}(s_{C1}, s_{C2}, \dots, s_{C\mu_C})$$
$$\mathbf{D} = \mathbf{D}(\mathbf{s}_{\mathbf{D}}) = \mathbf{D}(s_{D1}, s_{D2}, \dots, s_{D\mu_D}).$$
(13)

For simplicity of notation, we sometimes write, for example, $\mathbf{A}_{\mathbf{s}_{\mathbf{A}}}$ to represent $\mathbf{A}(\mathbf{s}_{\mathbf{A}})$. Recall that the matrix \mathbf{A}^* is derived from \mathbf{A} by replacing each variable s_{Ai} , for $1 \leq i \leq \mu_A$, by its conjugate, i.e.

$$\mathbf{A}^* = \mathbf{A}(\mathbf{s}_{\mathbf{A}}^*) = \mathbf{A}(s_{A1}^*, s_{A2}^*, \dots, s_{A\mu_A}^*).$$

We can represent \mathbf{B}^* , \mathbf{C}^* , and \mathbf{D}^* in a similar manner.

We state that a matrix $\mathbf{X}(\mathbf{s}_{\mathbf{X}})$ is of similar form to a matrix $\mathbf{Y}(\mathbf{s}_{\mathbf{Y}})$ (or just \mathbf{X} is of similar form to \mathbf{Y} , for short) if $\mathbf{X} = k_X \mathbf{Y}(\mathbf{s}_{\mathbf{X}})$, where $\mathbf{s}_{\mathbf{X}}$ is a vector containing distinct complex variables $s_{X1}, s_{X2}, \dots, s_{X\mu_X}$, and similarly, $\mathbf{s}_{\mathbf{Y}}$ is a vector containing distinct complex variables $s_{Y1}, s_{Y2}, \dots, s_{Y\mu_Y}$, and k_X is an arbitrary, nonzero, real coefficient. In this notation, we stipulate that the number of variables μ_X in \mathbf{X} is at most equal to the number of variables μ_Y in \mathbf{Y} . To illustrate an example with $\mu_X = \mu_Y = 2$, $\mathbf{X}(\mathbf{s}_{\mathbf{X}}) = \begin{bmatrix} s_{X1} & s_{X2} \\ -s_{X2}^* & s_{X1}^* \end{bmatrix}$ (which presents the Alamouti code with two variables) is of similar form to $\mathbf{Y}(\mathbf{s}_{\mathbf{Y}}) = \begin{bmatrix} s_{Y1} & s_{Y2} \\ -s_{Y2}^* & s_{Y1}^* \end{bmatrix}$, since $\mathbf{X} = \mathbf{Y}(\mathbf{s}_{\mathbf{X}}) = \mathbf{Y}(s_{X1}, s_{X2})$. To illustrate the case where $\mu_X = 1$ and $\mu_Y = 2$, $\mathbf{X}(\mathbf{s}_{\mathbf{X}}) = \begin{bmatrix} s_{X1} & s_{X1} \\ -s_{X1}^* & s_{X1}^* \end{bmatrix}$ (which presents the Alamouti code with only one variable) is also of similar form to \mathbf{Y} since $\mathbf{X} = \mathbf{Y}(\mathbf{s}_{\mathbf{X}}) = \mathbf{Y}(s_{X1})$.

By this notation, when we state that the matrix \mathbf{C} in (13) is of similar form to the matrix \mathbf{B} , for instance, we imply that \mathbf{C} can be represented as $\mathbf{C} = k_C \mathbf{B}(\mathbf{s}_C)$ where the number of complex variables μ_C in \mathbf{C} is at most equal to the number of complex variables μ_B in \mathbf{B} , i.e., $\mu_C \leq \mu_B$.

Finally, we denote I_n to be an identity matrix of order n.

III. DESIGN METHODS

In this section, we provide two new methods to construct square CO STBCs. In each case, we use sub-matrices of order n to build CO STBCs of order N = 4n. Our methods generalize the Williamson and Wallis-Whiteman arrays, which were originally used to build real orthogonal designs [12, pp. 121 and 99, respectively].

Theorem 1: If the sub-matrices A, B, C and D of order n satisfy the following necessary conditions:

1) A, B, C, and D are orthogonal themselves and

$$\mathbf{A}^{H}\mathbf{A} + \mathbf{B}^{H}\mathbf{B} + \mathbf{C}^{H}\mathbf{C} + \mathbf{D}^{H}\mathbf{D} = \sum_{i \in \mathcal{I}_{U}} l_{i}|s_{i}|^{2}\mathbf{I}_{n} \qquad (14)$$

where l_i are definitely positive, real coefficients, and the complex variables s_i may be in U_1 , U_2 , U_3 or U_4 which are defined in (10).

- 2) The matrices $\mathcal{O}' = \begin{bmatrix} \mathbf{A} & \mathbf{B} \\ -\mathbf{B} & \mathbf{A} \end{bmatrix}$ and $\mathcal{O}'' = \begin{bmatrix} \mathbf{A} & \mathbf{B}^* \\ -\mathbf{B}^* & \mathbf{A} \end{bmatrix}$ are square COD of order 2n.
- 3) $\mathbf{B}_{\mathbf{s}}^{H}\mathbf{B}_{\mathbf{s}'}$ and $\mathbf{B}_{\mathbf{s}}^{T}\mathbf{B}_{\mathbf{s}'}$ are symmetric for any possible pair of vectors \mathbf{s} and \mathbf{s}' of complex variables, where $\mathbf{B}_{\mathbf{s}}$ and $\mathbf{B}_{\mathbf{s}'}$ are shorthand for $\mathbf{B}(\mathbf{s})$ and $\mathbf{B}(\mathbf{s}')$, respectively.
- 4) C and D are of similar form to B and B, respectively, B* and B, respectively, B and B* respectively, or B* and B*, respectively, i.e., C and D can be presented as one of the following forms:

$$\begin{cases} \mathbf{C} = k_C \mathbf{B}(\mathbf{s}_C) \\ \mathbf{D} = k_D \mathbf{B}(\mathbf{s}_D) \end{cases} \begin{cases} \mathbf{C} = k_C \mathbf{B}(\mathbf{s}_C^*) \\ \mathbf{D} = k_D \mathbf{B}(\mathbf{s}_D) \end{cases}$$
$$\begin{cases} \mathbf{C} = k_C \mathbf{B}(\mathbf{s}_C) \\ \mathbf{D} = k_D \mathbf{B}(\mathbf{s}_D^*) \end{cases} \begin{cases} \mathbf{C} = k_C \mathbf{B}(\mathbf{s}_C^*) \\ \mathbf{D} = k_D \mathbf{B}(\mathbf{s}_D^*) \end{cases}$$
(15)

Authorized licensed use limited to: Alfred Mertins. Downloaded on October 19, 2009 at 10:28 from IEEE Xplore. Restrictions apply.

where k_C and k_D are arbitrary (positive or negative), real coefficients, and $\mu_C \le \mu_B$, $\mu_D \le \mu_B$

then

$$\mathcal{O} = \begin{bmatrix} A & B & C & D \\ -B & A & D^* & -C^* \\ -C & -D^* & A & B^* \\ -D & C^* & -B^* & A \end{bmatrix}$$
(16)

is a CO STBC of order N = 4n. If all coefficients $l_i = 1$, for $i \in \mathcal{I}_U$, then \mathcal{O} is called square CO STBC without Linear Processing (LP) (or just square CO STBC for short). Otherwise, \mathcal{O} is considered as a square CO STBC with LP. If $(\mu_A + \mu_B + \mu_C + \mu_D) = \mu(N)$, then \mathcal{O} is a square, maximum rate CO STBC of order 4n.

Proof: We prove Theorem 1 for the case that C and D are of similar form to B and B, respectively. Similar arguments can be applied to three other cases. From (16), we have (17), shown at the bottom of the page, where \mathcal{L} in the matrix M denotes the lower triangular part under the main diagonal whose elements are the Hermitian transposes of the corresponding elements in the upper triangular part. For instance, we have the element $\mathcal{L}(2,1) = \mathbf{B}^H \mathbf{A} - \mathbf{A}^H \mathbf{B} + \mathbf{D}^{*H} \mathbf{C} - \mathbf{C}^{*H} \mathbf{D}$.

First, we prove the following equalities:

$$\mathbf{B}^{*H}\mathbf{B}^* = \mathbf{B}^H\mathbf{B} \tag{18}$$

$$\mathbf{C}^{*H}\mathbf{C}^* = \mathbf{C}^H\mathbf{C} \tag{19}$$

$$\mathbf{D}^{*H}\mathbf{D}^* = \mathbf{D}^H\mathbf{D}.$$
 (20)

Since \mathbf{B} is orthogonal, we have

$$\mathbf{B}^H \mathbf{B} = \mathbf{B} \mathbf{B}^H = \sum_{i \in \mathcal{I}_{U_2}} l_i |s_i|^2 \mathbf{I}_n$$

which implies that $\mathbf{B}^{H}\mathbf{B}$ is a real, diagonal matrix and, therefore

$$\mathbf{B}^{H}\mathbf{B} = [(\mathbf{B}^{H}\mathbf{B})^{T}]^{H}.$$
 (21)

Using (21), it follows that

$$\mathbf{B}^{H}\mathbf{B} = \left[\mathbf{B}^{T}\mathbf{B}^{*}\right]^{H} = \mathbf{B}^{*H}(\mathbf{B}^{T})^{H} = \mathbf{B}^{*H}\mathbf{B}^{*}.$$

Therefore, (18) has been proved. The same arguments can be applied to prove (19) and (20). Hence, if **A**, **B**, **C** and **D** are orthogonal themselves and satisfy (14), then all elements (i.e.,

submatrices) on the main diagonal of the matrix $\mathbf{M} = \mathcal{O}^H \mathcal{O}$ are equal to

$$\mathbf{A}^{H}\mathbf{A} + \mathbf{B}^{H}\mathbf{B} + \mathbf{C}^{H}\mathbf{C} + \mathbf{D}^{H}\mathbf{D} = \sum_{i \in \mathcal{I}_{U}} l_{i}|s_{i}|^{2}\mathbf{I}_{n}.$$

Second, we prove the following equalities:

$$\mathbf{A}^H \mathbf{B} - \mathbf{B}^H \mathbf{A} = \mathbf{O}_n \tag{22}$$

$$\mathbf{A}^H \mathbf{C} - \mathbf{C}^H \mathbf{A} = \mathbf{O}_n \tag{23}$$

$$\mathbf{A}^{H}\mathbf{D} - \mathbf{D}^{H}\mathbf{A} = \mathbf{O}_{n}$$
(24)

where \mathbf{O}_n is a zero matrix of order *n*. Equation (22) holds as \mathcal{O}' is a COD. Additionally, because **C** and **D** are of similar form to **B** [see (15)], the equalities (23) and (24) are straightforwardly proved (multiplication with real coefficients k_C and k_D does not change the property (22)).

Third, we prove the following equalities:

$$\mathbf{B}^{H}\mathbf{C}^{*} - \mathbf{C}^{H}\mathbf{B}^{*} = \mathbf{O}_{n}$$
(25)

$$\mathbf{B}^H \mathbf{D}^* - \mathbf{D}^H \mathbf{B}^* = \mathbf{O}_n \tag{26}$$

$$\mathbf{C}^H \mathbf{D}^* - \mathbf{D}^H \mathbf{C}^* = \mathbf{O}_n.$$

Since $\mathbf{B}_{\mathbf{s}}^{T}\mathbf{B}_{\mathbf{s}'}$ is symmetric for any pair of vectors \mathbf{s} and \mathbf{s}' of complex variables, it follows that $(\mathbf{B}_{\mathbf{s}}^{T}\mathbf{B}_{\mathbf{s}'})^{H} \equiv \mathbf{B}_{\mathbf{s}'}^{H}\mathbf{B}_{\mathbf{s}}^{H^{T}}$ is also symmetric. Using this symmetry, it follows that

$$\mathbf{B}_{\mathbf{s}'}^{H} \mathbf{B}_{\mathbf{s}}^{H^{T}} = \begin{bmatrix} \mathbf{B}_{\mathbf{s}'}^{H} \mathbf{B}_{\mathbf{s}}^{H^{T}} \end{bmatrix}^{T} \Leftrightarrow \mathbf{B}_{\mathbf{s}'}^{H} \mathbf{B}_{\mathbf{s}}^{*} = \mathbf{B}_{\mathbf{s}}^{H} \mathbf{B}_{\mathbf{s}'}^{H^{T}}$$
$$\Leftrightarrow \mathbf{B}_{\mathbf{s}'}^{H} \mathbf{B}_{\mathbf{s}}^{*} = \mathbf{B}_{\mathbf{s}}^{H} \mathbf{B}_{\mathbf{s}'}^{*}.$$

In other words, we have

$$\mathbf{B}_{\mathbf{s}'}^H \mathbf{B}_{\mathbf{s}}^* - \mathbf{B}_{\mathbf{s}}^H \mathbf{B}_{\mathbf{s}'}^* = \mathbf{O}_n \tag{28}$$

for any pair of vectors s and s'. Due to the fact that C and D are of similar form to B, by replacing \mathbf{B}_{s} and $\mathbf{B}_{s'}$ in (28) by B, C or D, the equalities (25), (26), and (27) are proved.

From (22)–(27), we see that the elements $\mathbf{M}(1,2)$, $\mathbf{M}(1,3)$ and $\mathbf{M}(1,4)$ of the matrix $\mathbf{M} = \mathcal{O}^H \mathcal{O}$ are zero matrices.

Fourth, we prove the following equalities:

$$\mathbf{B}^{H}\mathbf{C} - \mathbf{C}^{*H}\mathbf{B}^{*} = \mathbf{O}_{n}$$
(29)

$$\mathbf{B}^H \mathbf{D} - \mathbf{D}^{*H} \mathbf{B}^* = \mathbf{O}_n \tag{30}$$

$$\mathbf{C}^H \mathbf{D} - \mathbf{D}^{*H} \mathbf{C}^* = \mathbf{O}_n.$$
(31)

$$\begin{split} \mathsf{M} &= \mathcal{O}^{H} \mathcal{O} \\ &= \begin{bmatrix} A^{H}A + B^{H}B + C^{H}C + D^{H}D & A^{H}B - B^{H}A + C^{H}\bar{D} - D^{H}\bar{C} & A^{H}C - C^{H}A - B^{H}\bar{D} + D^{H}\bar{B} & A^{H}D - D^{H}A + B^{H}\bar{C} - C^{H}\bar{B} \\ & A^{H}A + B^{H}B + \bar{C}^{H}\bar{C} + \bar{D}^{H}\bar{D} & B^{H}C - \bar{C}^{H}\bar{B} + A^{H}\bar{D} - \bar{D}^{H}A & B^{H}D - \bar{D}^{H}\bar{B} - A^{H}\bar{C} + \bar{C}^{H}A \\ & A^{H}A + \bar{B}^{H}\bar{B} + C^{H}C + \bar{D}^{H}\bar{D} & C^{H}D - \bar{D}^{H}\bar{C} + A^{H}\bar{B} - \bar{B}^{H}A \\ & A^{H}A + \bar{B}^{H}\bar{B} + C^{H}C + \bar{D}^{H}\bar{D} & C^{H}D - \bar{D}^{H}\bar{C} + A^{H}\bar{B} - \bar{B}^{H}A \\ & A^{H}A + \bar{B}^{H}\bar{B} + \bar{C}^{H}\bar{C} + D^{H}D \end{bmatrix}. \end{split}$$

$$\end{split}$$

Due to $\mathbf{B}_{\mathbf{s}}^{H}\mathbf{B}_{\mathbf{s}'}$ being symmetric, the following equalities hold:

$$\mathbf{B}_{\mathbf{s}}^{H}\mathbf{B}_{\mathbf{s}'} = \left[\mathbf{B}_{\mathbf{s}}^{H}\mathbf{B}_{\mathbf{s}'}\right]^{T} \Leftrightarrow \mathbf{B}_{\mathbf{s}}^{H}\mathbf{B}_{\mathbf{s}'} = \mathbf{B}_{\mathbf{s}'}^{T}\mathbf{B}_{\mathbf{s}}^{*}$$
$$\Leftrightarrow \mathbf{B}_{\mathbf{s}}^{H}\mathbf{B}_{\mathbf{s}'} = \mathbf{B}_{\mathbf{s}'}^{*H}\mathbf{B}_{\mathbf{s}}^{*}$$
$$\Leftrightarrow \mathbf{B}_{\mathbf{s}}^{H}\mathbf{B}_{\mathbf{s}'} - \mathbf{B}_{\mathbf{s}'}^{*H}\mathbf{B}_{\mathbf{s}}^{*} = \mathbf{O}_{n} \quad (32)$$

for any pair of vectors s and s'. Due to C and D being of similar form to B, by replacing B_s and $B_{s'}$ in (32) by B, C or D, the equalities (29)–(31) are proved.

Finally, we prove that

$$\mathbf{A}^{H}\mathbf{B}^{*} - \mathbf{B}^{*H}\mathbf{A} = \mathbf{O}_{n} \tag{33}$$

$$\mathbf{A}^{H}\mathbf{C}^{*} - \mathbf{C}^{*H}\mathbf{A} = \mathbf{O}_{n} \tag{34}$$

$$\mathbf{A}^H \mathbf{D}^* - \mathbf{D}^{*H} \mathbf{A} = \mathbf{O}_n. \tag{35}$$

Equation (33) holds since \mathcal{O}'' is a COD. Because C and D are of similar form to **B**, by replacing **B** in (33) by C or **D**, the equalities (34) and (35) are proved.

From (29)–(31) and (33)–(35), it follows that the elements $\mathbf{M}(2,3) = \mathbf{M}(2,4) = \mathbf{M}(3,4) = \mathbf{O}_n$. Since the lower triangular part \mathcal{L} is the Hermitian transpose of the upper part, all elements in \mathcal{L} are also zero matrices. Hence, \mathbf{M} can be presented as

$$\mathbf{M} = \sum_{i \in \mathcal{I}_U} l_i |s_i|^2 \operatorname{diag}(\mathbf{I}_n, \mathbf{I}_n, \mathbf{I}_n, \mathbf{I}_n) = \sum_{i \in \mathcal{I}_U} l_i |s_i|^2 \mathbf{I}_N$$

where diag denotes a diagonal matrix. In other words, the matrix \mathcal{O} in (16) is a square COD (also CO STBC) of order N=4n with $(\mu_A + \mu_B + \mu_C + \mu_D)$ variables. Note that, if \mathcal{O} comprises the maximum number of variables, i.e., (12) is satisfied, then \mathcal{O} is a square, maximum rate CO STBC of order 4n. Theorem 1 has been proved.

Similarly, we derived the following theorem, which is a variation of the Wallis-Whiteman array [12, p. 99], modified to apply to complex matrices.

Theorem 2: If the submatrices A, B, C and D of order n satisfy the following necessary conditions:

1) A, B, C and D are orthogonal themselves and

$$\mathbf{A}^{H}\mathbf{A} + \mathbf{B}^{H}\mathbf{B} + \mathbf{C}^{H}\mathbf{C} + \mathbf{D}^{H}\mathbf{D} = \sum_{i \in \mathcal{I}_{U}} l_{i}|s_{i}|^{2}\mathbf{I}_{n}$$

where l_i are definitely positive, real coefficients, and the complex variables s_i may be in U_1 , U_2 , U_3 or U_4 , which are defined in (10).

- 2) The matrices $\mathcal{O}' = \begin{bmatrix} \mathbf{C} & \mathbf{A} \\ -\mathbf{A} & \mathbf{C} \end{bmatrix}$ and $\mathcal{O}'' = \begin{bmatrix} \mathbf{C} & \mathbf{A}^* \\ -\mathbf{A}^* & \mathbf{C} \end{bmatrix}$ are square complex orthogonal designs (COD) of order 2n.
- 3) $\mathbf{A}_{\mathbf{s}}^{H} \mathbf{A}_{\mathbf{s}'}$ and $\mathbf{A}_{\mathbf{s}}^{T} \mathbf{A}_{\mathbf{s}'}$ are symmetric for any possible pair of vectors \mathbf{s} and \mathbf{s}' of complex variables, where $\mathbf{A}_{\mathbf{s}}$ and $\mathbf{A}_{\mathbf{s}'}$ are shorthand for $\mathbf{A}(\mathbf{s})$ and $\mathbf{A}(\mathbf{s}')$, respectively.
- B and D are of similar form to A and A, respectively, A* and A, respectively, A and A* respectively, or A* and A*,

respectively, i.e., **B** and **D** can be presented as one of the following forms:

$$\begin{cases} \mathbf{B} = k_B \mathbf{A}(\mathbf{s}_B) \\ \mathbf{D} = k_D \mathbf{A}(\mathbf{s}_D) \end{cases} \begin{cases} \mathbf{B} = k_B \mathbf{A}(\mathbf{s}_B^*) \\ \mathbf{D} = k_D \mathbf{A}(\mathbf{s}_D) \end{cases} \\ \begin{cases} \mathbf{B} = k_B \mathbf{A}(\mathbf{s}_B) \\ \mathbf{D} = k_D \mathbf{A}(\mathbf{s}_D^*) \end{cases} \begin{cases} \mathbf{B} = k_B \mathbf{A}(\mathbf{s}_B^*) \\ \mathbf{D} = k_D \mathbf{A}(\mathbf{s}_D^*) \end{cases}$$

where k_B and k_D are arbitrary (positive or negative), real coefficients, and $\mu_B \le \mu_A, \mu_D \le \mu_A$

then

$$\mathcal{O} = \begin{bmatrix} A & B & C & D \\ -B^* & A^* & -D & C \\ -C & D^* & A & -B^* \\ -D^* & -C & B & A^* \end{bmatrix}$$
(36)

is a CO STBC of order N = 4n. If all coefficients $l_i = 1$ for $i \in \mathcal{I}_U$, then \mathcal{O} is called square CO STBC without linear processing (LP) (or just square CO STBC for short). Otherwise, \mathcal{O} is considered as a square CO STBC with LP. If $(\mu_A + \mu_B + \mu_C + \mu_D) = \mu(N)$, then \mathcal{O} is a square, maximum rate CO STBC of order 4n.

Proof: The Proof of Theorem 2 is similar to the Proof of Theorem 1. \Box

IV. EXAMPLES OF MAXIMUM RATE, SQUARE, ORDER-8 CO STBCS WITH NO ZERO ENTRIES

In order to construct 8×8 CO STBCs of maximum rates using the proposed methods in Theorems 1 and 2, the main task is to find two 2×2 submatrices which satisfy certain properties. This is easier than finding eight 8×8 weighting matrices simultaneously as in the AOD approach [14].

Using Theorem 1 and Theorem 2, we construct here some square CO STBCs of order N = 8 (with or without LP) with the maximum number of variables $\mu(8) = 4$. The sub-matrices **A**, **B**, **C**, **D** are of order n = 2 and each submatrix comprises one variable. From Theorem 1 (correspondingly, Theorem 2), it is clear that the most *crucial* task for constructing square CO STBCs of order 4n in our proposed methods is to find two matrices **A** and **B** (**A** and **C**) satisfying the properties (2) and (3) in Theorem 1 (Theorem 2). We realize that various matrices **A**, **B**, **C**, and **D** can satisfy those conditions, and derive here some of those cases for illustration.

Example 1: The following submatrices satisfy Theorem 1

$$\mathbf{A} = k_1 \begin{bmatrix} s_1 & s_1 \\ -s_1^* & s_1^* \end{bmatrix}; \quad \mathbf{B} = k_2 \begin{bmatrix} -s_2^* & s_2^* \\ s_2 & s_2 \end{bmatrix}; \\ \mathbf{C} = k_3 \begin{bmatrix} -s_3^* & s_3^* \\ s_3 & s_3 \end{bmatrix}; \quad \mathbf{D} = k_4 \begin{bmatrix} -s_4^* & s_4^* \\ s_4 & s_4 \end{bmatrix};$$

for any real coefficients k_i , $(i = 1, \ldots, 4)$.

In this example, **A** is a variation of the Alamouti code with only one variable, while **C** and **D** are each of similar form to **B**. Then, \mathcal{O} in (16) satisfies $\mathcal{O}^H \mathcal{O} = 2 \sum_{i=1}^4 k_i^2 |s_i|^2 \mathbf{I}_8$ and, consequently, \mathcal{O} is a maximum rate, square, order-8 CO STBC (with or without LP depending on k_i). If $k_i = 1$, for i = 1, ..., 4, from (16), we have the following code

$$\begin{bmatrix} s_{1} & s_{1} & -s_{2}^{*} & s_{2}^{*} & -s_{3}^{*} & s_{3}^{*} & -s_{4}^{*} & s_{4}^{*} \\ -s_{1}^{*} & s_{1}^{*} & s_{2} & s_{2} & s_{3} & s_{3} & s_{4} & s_{4} \\ s_{2}^{*} & -s_{2}^{*} & s_{1} & s_{1} & -s_{4} & s_{4} & s_{3} & -s_{3} \\ -s_{2} & -s_{2} & -s_{1}^{*} & s_{1}^{*} & s_{4}^{*} & s_{4}^{*} & -s_{3}^{*} & -s_{3}^{*} \\ s_{3}^{*} & -s_{3}^{*} & s_{4} & -s_{4} & s_{1} & s_{1} & -s_{2} & s_{2} \\ -s_{3} & -s_{3} & -s_{4}^{*} & -s_{4}^{*} & -s_{1}^{*} & s_{1}^{*} & s_{2}^{*} & s_{2}^{*} \\ s_{4}^{*} & -s_{4}^{*} & -s_{3} & s_{3} & s_{2} & -s_{2} & s_{1} & s_{1} \\ -s_{4} & -s_{4} & s_{3}^{*} & s_{3}^{*} & -s_{2}^{*} & -s_{1}^{*} & s_{1}^{*} \end{bmatrix}.$$

$$(37)$$

Examples with various other structures are given here.

Example 2: This example illustrates the case in Theorem 1 where C and D are each of similar form to B^*

$$\mathbf{A} = k_1 \begin{bmatrix} s_1 & -s_1 \\ s_1^* & s_1^* \end{bmatrix}; \quad \mathbf{B} = k_2 \begin{bmatrix} s_2^* & s_2^* \\ s_2 & -s_2 \end{bmatrix}$$
$$\mathbf{C} = k_3 \begin{bmatrix} s_3 & s_3 \\ s_3^* & -s_3^* \end{bmatrix}; \quad \mathbf{D} = k_4 \begin{bmatrix} s_4 & s_4 \\ s_4^* & -s_4^* \end{bmatrix}$$

If $k_1 = k_2 = 1$ and $k_3 = k_4 = -1$, for instance, then we have

$$\begin{bmatrix} s_{1} & -s_{1} & s_{2}^{*} & s_{2}^{*} & -s_{3} & -s_{3} & -s_{4} & -s_{4} \\ s_{1}^{*} & s_{1}^{*} & s_{2} & -s_{2} & -s_{3}^{*} & s_{3}^{*} & -s_{4}^{*} & s_{4}^{*} \\ -s_{2}^{*} & -s_{2}^{*} & s_{1} & -s_{1} & -s_{4}^{*} & -s_{4}^{*} & s_{3}^{*} & s_{3}^{*} \\ -s_{2} & s_{2} & s_{1}^{*} & s_{1}^{*} & -s_{4} & s_{4} & s_{3} & -s_{3} \\ s_{3} & s_{3} & s_{4}^{*} & s_{4}^{*} & s_{1} & -s_{1} & s_{2} & s_{2} \\ s_{3}^{*} & -s_{3}^{*} & s_{4} & -s_{4} & s_{1}^{*} & s_{1}^{*} & s_{2}^{*} & -s_{2}^{*} \\ s_{4} & s_{4} & -s_{3}^{*} & -s_{3}^{*} & -s_{2} & -s_{2} & s_{1} & -s_{1} \\ s_{4}^{*} & -s_{4}^{*} & -s_{3} & s_{3} & -s_{2}^{*} & s_{2}^{*} & s_{1}^{*} & s_{1}^{*} \end{bmatrix}.$$

$$(38)$$

Example 3: This example using Theorem 1 shows that the CO STBC G8 in (7) can be (indirectly) derived from our proposed methods. Let

$$\mathbf{A} = k_1 \begin{bmatrix} s_1^* & s_1^* \\ s_1 & -s_1 \end{bmatrix}; \quad \mathbf{B} = k_2 \begin{bmatrix} s_2 & -s_2 \\ s_2^* & s_2^* \end{bmatrix}; \\ \mathbf{C} = k_3 \begin{bmatrix} s_3 & -s_3 \\ s_3^* & s_3^* \end{bmatrix}; \quad \mathbf{D} = k_4 \begin{bmatrix} s_4 & -s_4 \\ s_4^* & s_4^* \end{bmatrix}.$$

If $k_i = 1$ for i = 1, ..., 4, from (16), we have the following code

rs_1^*	s_1^*	s_2	$-s_2$	s_3	$-s_3$	s_4	$-s_4$	
s_1	$-s_1$	s_2^*	s_2^*	s_3^*	s_3^*	s_4^*	s_4^*	
$-s_2$	s_2	s_1^*	s_1^*	s_4^*	$-s_{4}^{*}$	$-s_{3}^{*}$	s_3^*	
$-s_{2}^{*}$	$-s_{2}^{*}$	s_1	$-s_1$	s_4	s_4	$-s_3$	$-s_3$	
$-s_3$	s_3	$-s_{4}^{*}$	s_4^*	s_1^*	s_1^*	s_2^*	$-s_{2}^{*}$	•
$-s_{3}^{*}$	$-s_{3}^{*}$	$-s_4$	$-s_4$	s_1	$-s_1$	s_2	s_2	
$-s_4$	s_4	s_3^*	$-s_{3}^{*}$	$-s_{2}^{*}$	s_2^*	s_1^*	s_1^*	1
$-s_{4}^{*}$	$-s_{4}^{*}$	s_3	s_3	$-s_2$	$-s_2$	s_1	$-s_1$	
								(39)

We note that the CO STBC G8 in (7) can be derived from our CO STBC in (39) by multiplying every even row in (39) with j. However, G8 in (7) itself does not follow our proposed structure as the submatrices **A** and **B** in G8 do not satisfy the second condition in Theorem 1.

Example 4: This example illustrates the case in Theorem 2 where \mathbf{B} and \mathbf{D} are each of similar form to \mathbf{A}

$$\mathbf{A} = k_1 \begin{bmatrix} s_1^* & s_1^* \\ s_1 & -s_1 \end{bmatrix}; \quad \mathbf{B} = k_2 \begin{bmatrix} s_2^* & s_2^* \\ s_2 & -s_2 \end{bmatrix};$$
$$\mathbf{C} = k_3 \begin{bmatrix} s_3 & -s_3 \\ s_3^* & s_3^* \end{bmatrix}; \quad \mathbf{D} = k_4 \begin{bmatrix} s_4^* & s_4^* \\ s_4 & -s_4 \end{bmatrix}.$$

If $k_i = 1$ for i = 1, ..., 4, from (36), we have the following code:

$$\begin{bmatrix} s_{1}^{*} & s_{1}^{*} & s_{2}^{*} & s_{2}^{*} & s_{3}^{*} & -s_{3}^{*} & s_{4}^{*} & s_{4}^{*} \\ s_{1} & -s_{1} & s_{2} & -s_{2} & s_{3}^{*} & s_{3}^{*} & s_{4}^{*} & -s_{4}^{*} \\ -s_{2} & -s_{2} & s_{1}^{*} & s_{1}^{*} & -s_{4}^{*} & -s_{4}^{*} & s_{3}^{*} & -s_{3}^{*} \\ -s_{3}^{*} & s_{3}^{*} & s_{4}^{*} & s_{1}^{*} & -s_{1}^{*} & -s_{2}^{*} & -s_{2}^{*} \\ -s_{3}^{*} & -s_{3}^{*} & s_{4}^{*} & -s_{4}^{*} & s_{1}^{*} & -s_{1}^{*} & -s_{2}^{*} & -s_{2}^{*} \\ -s_{4}^{*} & -s_{4}^{*} & -s_{3}^{*} & s_{3}^{*} & s_{2}^{*} & s_{1}^{*} & s_{1}^{*} \\ -s_{4}^{*} & s_{4}^{*} & -s_{3}^{*} & -s_{3}^{*} & s_{2}^{*} & -s_{2}^{*} & s_{1}^{*} \\ -s_{4}^{*} & s_{4}^{*} & -s_{3}^{*} & -s_{3}^{*} & s_{2}^{*} & -s_{2}^{*} & s_{1}^{*} & -s_{1}^{*} \end{bmatrix}.$$
(40)

All of the above codes are square, maximum rate CO STBCs of order N = 8 with a full design, i.e., without any zeros for any complex signal constellations. The power is equally transmitted via each Tx antenna during every STS. For these reasons, the proposed CO STBCs are referred to as the *improved*, square CO STBCs.

V. SIMULATION RESULTS

To examine the error performance of the proposed codes, we ran Monte-Carlo simulations for the code in (37) in a system with eight Tx antennas and one receive (Rx) antenna for illustration. The bit error performance of the proposed code was analyzed in both QPSK and 8 PSK modulation schemes and was considered in a flat Rayleigh fading channel. The channel coefficients and noise are assumed to be independent and identically distributed (i.i.d.), zero-mean, complex Gaussian random variables. The SNR examined here is the channel SNR, i.e., the ratio between the sum of the average power of all received signals during a STS at the Rx antenna and the average noise power. The error performance of the conventional code \mathbf{Z}_1 in (1), the codes \mathbb{Z}_2 in (4), \mathbb{Z}_3 in (5), where several zero entries are contained in the code matrix, the code \mathbf{Z}_4 without zero entries mentioned in (6), and Yuen *et al.*'s code G_8 in (7) were also shown in both QPSK and 8 PSK modulation schemes as the references. The Monte Carlo simulations were run for 1 000 000 trials.

It is noted that the power of symbols transmitted through each Tx antenna in each STS was normalized to one in both QPSK and 8 PSK modulation cases for all considered codes. In particular, for the CO STBC in (37), the conventional code Z_1 , the codes Z_4 and G_8 , all the transmitted symbols were derived from a unitary signal constellation. In Z_2 , the transmitted symbols s_1



Fig. 1. The performance of the proposed code in (37), compared to the conventional code Z_1 , the codes Z_2 , Z_3 , Z_4 , and Yuen *et al.*'s code G_8 .

and s_2 were derived from a unitary signal constellation, while the power of s_3 or s_4 was twice the power of s_1 or s_2 . Similarly, for \mathbb{Z}_3 , the transmitted symbols s_1 , s_2 and s_3 were derived from a unitary signal constellation, while the power of s_4 was four times as much as that of s_1 , s_2 or s_3 .

By doing this, we stuck to the aim of transmitting the power of information-bearing symbols equally through each Tx antenna per STS, which is, in turn, one of the main purposes of this paper. In other words, we conditioned that the peak power per channel use was unitary and was the same for all considered codes in the simulations. Thus the average transmission power of the code (37), \mathbb{Z}_4 , and \mathbb{G}_8 was 1, while that was 1/2 for \mathbb{Z}_1 , 3/4 for \mathbb{Z}_2 and 7/8 for \mathbb{Z}_3 , respectively. Equivalently, the PAPR of the proposed code and of \mathbb{Z}_4 and \mathbb{G}_8 was one, while that of \mathbf{Z}_1 , \mathbf{Z}_2 and \mathbf{Z}_3 was 2, 4/3, and 8/7, respectively. We can see that having zeros in the code matrix results in a higher PAPR in comparison with the code with no zeros. Clearly, the average transmission power in the whole block of the code in (37) was twice as much as that in \mathbb{Z}_1 and equal to that in \mathbb{Z}_4 and in \mathbb{G}_8 . Therefore, the simulation results are expected to show that the performance of the proposed code is 3 dB better than that of \mathbf{Z}_1 and the same as that of \mathbf{Z}_4 and of \mathbf{G}_8 . These observations have been confirmed in Fig. 1, where the proposed code provides approximately 3 dB better bit error performance than \mathbf{Z}_1 at $BER = 10^{-4}$ in both QPSK and 8 PSK modulation schemes, while it provides the same bit error performance as \mathbb{Z}_4 and \mathbb{G}_8 .

It is interesting to note that the overall error performance of the CO STBCs does not only depend on the average transmission power per symbol, but also depends on the structure of the codes. In particular, from the transmission power point of view, the gains of 1.25 dB (i.e., $10 \lg(4/3)$) and of 0.58 dB (i.e., $10 \lg(8/7)$) are theoretically expected to achieve by the code in (37) (also by \mathbb{Z}_4 or by \mathbb{G}_8) in comparison with \mathbb{Z}_2 and \mathbb{Z}_3 , respectively. However, from Fig. 1, it can be realized that the code in (37) provides approximate 2.5 and 2.75 dB better error performances than \mathbb{Z}_2 and \mathbb{Z}_3 , respectively, in both QPSK and 8 PSK modulation schemes. It can also be realized that \mathbb{Z}_2 actually provides better error performance than \mathbb{Z}_3 , although the average transmission power per symbol in the whole block of the former is slightly smaller than the latter.

This observation can be explained as follows. \mathbf{Z}_2 provides more diversity in both spatial and temporal directions for the 4 bits embedded in the two symbols s_3 and s_4 in the case of QPSK modulation (6 bits in the case of 8 PSK modulation), while \mathbf{Z}_3 only provides more diversity for the 2 bits embedded in the symbol s_4 (3 bits in the 8 PSK modulation). Therefore, \mathbf{Z}_2 may provide a better resistance to burst errors than \mathbf{Z}_3 . Similarly, the code in (37) provides more diversity in both spatial and temporal directions for the 8 bits embedded in the four symbols s_1, s_2, s_3 and s_4 in the case of QPSK modulation (12 bits in the case of 8 PSK modulation). In other words, the dispersion of symbols within the CO STBCs can be an important factor to result in a good bit error performance and it should be considered in designing a good CO STBC, besides the rank and determinant (or coding advantage) criteria [6], [17], [18]. From the mathematical viewpoint, the good dispersion means that there are as fewer zeros in the whole matrix as possible and that the nonzero entries are as much scattered in the whole matrix as possible.

VI. CONCLUSION

By modifying the Williamson and Wallis-Whiteman arrays to apply to complex matrices, we have proposed two new methods of constructing square, order-4n CO STBCs from square, order-n CO STBCs which satisfy certain properties as described in Theorems 1 and 2. Applying Theorems 1 and 2, we have constructed various square, maximum rate, order-8 CO STBCs with no zeros. In our CO STBCs, the transmitted symbols equally disperse through Tx antennas with the consequence that the power can be equally transmitted via each Tx antenna during every STS. Additionally, it is our conjecture that the proposed methods can be applied to design square CO STBCs of order 16 or 32 from square CO STBCs of order-4 or 8, respectively, provided that there exist submatrices satisfying the conditions of our theorems. The construction of square CO STBCs of higher orders, such as 16 or 32, requires further study, and this is our future work.

REFERENCES

- L. C. Tran, T. A. Wysocki, A. Mertins, and J. Seberry, *Complex Orthogonal Space-Time Processing in Wireless Communications*. New York: Springer, 2006.
- [2] L. C. Tran, T. A. Wysocki, J. Seberry, A. Mertins, and S. A. Spence, "Generalized Williamson and Wallis-Whiteman constructions for improved square order-8 CO STBCs," in *Proc. 16th IEEE Int. Symp. Pers. Indoor and Mobile Radio Commun. PIMRC'05*, Sep. 2005, vol. 2, pp. 1155–1159.
- [3] S. M. Alamouti, "A simple transmit diversity technique for wireless communications," *IEEE J. Sel. Areas Commun.*, vol. 16, no. 8, pp. 1451–1458, Oct. 1998.
- [4] X.-B. Liang, "Orthogonal designs with maximal rates," *IEEE Trans. Inf. Theory*, vol. 49, no. 10, pp. 2468–2503, Oct. 2003.
- [5] V. Tarokh, H. Jafarkhani, and A. R. Calderbank, "Space-time block coding for wireless communications: Performance results," *IEEE J. Sel. Areas Commun.*, vol. 17, no. 3, pp. 451–460, Mar. 1999.
- [6] V. Tarokh, H. Jafarkhani, and A. R. Calderbank, "Space-time blocks codes from orthogonal designs," *IEEE Trans. Inf. Theory*, vol. 45, no. 5, pp. 1456–1467, Jul. 1999.
- [7] O. Tirkkonen and A. Hottinen, "Square-matrix embeddable space-time blocks codes for complex signal constellations," *IEEE Trans. Inf. Theory*, vol. 48, no. 2, pp. 384–395, Feb. 2002.
- [8] X.-B. Liang and X.-G. Xia, "On the nonexistence of rate-one generalized complex orthogonal designs," *IEEE Trans. Inf. Theory*, vol. 49, no. 11, pp. 2984–2988, Nov. 2003.
- [9] H. Kan and H. Shen, "A counterexample for the open problem on the minimal delays of orthogonal designs with maximal rates," *IEEE Trans. Inf. Theory*, vol. 51, no. 1, pp. 355–359, Jan. 2005.
- [10] X.-B. Liang, "A complex orthogonal space-time block code for 8 transmit antennas," *IEEE Commun. Lett.*, vol. 9, no. 2, pp. 115–117, Feb. 2005.
- [11] L. C. Tran, J. Seberry, B. J. Wysocki, T. A. Wysocki, T. Xia, and Y. Zhao, "Two new complex orthogonal space-time codes for 8 transmit antennas," *IEE Electron. Lett.*, vol. 40, no. 1, pp. 55–56, Jan. 2004.
- [12] A. V. Geramita and J. Seberry, Orthogonal Designs: Quadratic Forms and Hadamard Matrices. New York and Basel: Marcel Dekker, 1979, vol. 43, Lecture Notes in Pure and Appl. Math..
- [13] J. Seberry, L. C. Tran, Y. Wang, B. J. Wysocki, T. A. Wysocki, T. Xia, and Y. Zhao, "New complex orthogonal space-time block codes of order eight," in *Signal Processing for Telecommunications and Multimedia*, B. Honary, T. A. Wysocki, and B. J. Wysocki, Eds. New York: Springer, Oct. 2004, vol. 27, Multimedia Syst. Appl., pp. 173–182.
- [14] C. Yuen, Y. L. Guan, and T. T. Tjhung, "Orthogonal space-time block code from amicable orthogonal design," in *Proc. IEEE. Int. Conf. Acoust., Speech Signal Process. ICASSP 2004*, May 2004, vol. 4, pp. 469–472.
- [15] G. Ganesan and P. Stoica, "Space-time diversity using orthogonal and amicable orthogonal designs," in *Proc. IEEE Int. Conf. Acoust.*, *Speech, Signal Process. ICASSP'00*, Jun. 2000, vol. 5, pp. 2561–2564.
- [16] C. Yuen, Y. L. Guan, and T. T. Tjhung, "Power-balanced orthogonal space-time block code," *IEEE Trans. Veh. Technol.*, vol. 57, no. 5, pp. 3304–3309, Sep. 2008.
- [17] V. Tarokh, A. Naguib, N. Seshadri, and A. R. Calderbank, "Space-time codes for high data rate wireless communication: Performance criteria in the presence of channel estimation errors, mobility, and multiple paths," *IEEE Trans. Commun.*, vol. 47, no. 2, pp. 199–207, Feb. 1999.

[18] V. Tarokh, N. Seshadri, and A. R. Calderbank, "Space-time codes for high data wireless communications: Performance criterion and code construction," *IEEE Trans. Inf. Theory*, vol. 44, no. 2, pp. 744–765, Mar. 1998.

Le Chung Tran (SM'07) received the B.Eng. degree with the highest distinction, the M.Eng. degree with the highest distinction, and the Ph.D. degree, all in telecommunications engineering, from Hanoi University of Communications and Transport (UCT), Vietnam, Hanoi University of Technology (HUT), Vietnam, and the University of Wollongong (UOW), Australia, in June 1997, March 2000, and May 2006, respectively.

He has been with UCT as a Lecturer since September 1997. He has been awarded a Research Fellowship from the Alexander von Humboldt (AvH) foundation, Germany, thus, he has spent about two years as a Postdoctoral Research Fellow with the University of Lübeck, Germany, since August 2006 to September 2008. Since July 2009, he has been with the University of Wollongong, Australia. He is the coauthor of one book, one book chapter, and has published approximately 30 research papers. His research interests include mobile communications, wireless communications, space–time–frequency processing, MIMO, UWB communications, OFDM, and DSP for communications.

Dr. Tran has achieved numerous national and overseas awards, including World University Services (WUS) Awards (twice), Vietnamese government's scholarship, UPA—Wollongong University Postgraduate Award, and Wollongong University Tuition Fee Waver.

Tadeusz A. Wysocki (M'95–SM'98) received the M.Eng.Sc. degree with the highest distinction in telecommunications from the Academy of Technology and Agriculture, Bydgoszcz, Poland, in 1981. He received the Ph.D. degree (*summa cum laude*), from the Warsaw University of Technology, in 1984, after three years of research in the area of modulation theory. He then continued research into combined modulation and coding and received the D.Sc. degree (Habilitation) in 1990 in telecommunications engineering from the Warsaw University of Technology.

In January 1992, he moved to Australia, where he worked at different Universities and research centers. In 1993, he was with the University of Hagen, Germany, within the framework of Alexander von Humboldt Research Fellowship, and in December 1998, he joined the University of Wollongong as an Associate Professor. In 2003, he established the Wireless Research Group within the Telecommunications and Information Technology Research Institute (TITR), which he directed until the end of 2007, when he moved to take up a Professorship of Computer and Electronics Engineering at Peter Kiewit Institute, University of Nebraska—Lincoln. He is the author or coauthor of seven books, more than 200 research publications, and nine patents. His areas of research interest include: propagation of microwaves, diversity techniques, digital modulation and coding schemes, space–time signal processing, as well as mobile data protocols, including those for *ad-hoc* networks and nano-networking.

Jennifer Seberry (SM'97) received the Ph.D. degree in computation mathematics from La Trobe University in 1971.

She is a Professor and Former Head of the Department of Computer Science, Director of the Centre for Computer Security Research, University of Wollongong, Australia. She has subsequently held positions with the Australian National University, The University of Sydney, and University College, The Australian Defence Force Academy, The University of New South Wales. She has published extensively in Discrete Mathematics and is world renown for her new discoveries on Hadamard matrices, orthogonal designs, and statistical designs. In 1970, she cofounded the series of conferences known as the Australian Conference on Combinatorial Mathematics and Combinatorial Computing. She started Teaching in Cryptology and Computer Security in 1980. She is especially interested in cryptographic algorithms, authentication, and privacy. In 1987, at University College, ADFA, she founded the Centre for Computer and Communications Security Research to be a reservoir of expertise for the Australian community. Her studies of the application of discrete mathematics and combinatorial computing via bent functions, S-box design, has led to the design of secure crypto-algorithms and strong hashing algorithms for secure and reliable information transfer in networks and telecommunications. Her studies of Hadamard matrices and orthogonal designs is applied in CDMA technologies. In 1990, she founded the AUSCRYPT/ASIACRYPT series of International Cryptologic Conferences in the Asia/Oceania area. She has supervised 25 successful Ph.D. degree candidates and has more than 350 scholarly papers and six books.

Alfred Mertins (M'96–SM'03) received the Dipl.-Ing. degree from the University of Paderborn, Germany, in 1984, the Dr.-Ing. degree in electrical engineering, and the Dr.-Ing. habil. degree in telecommunications from the Hamburg University of Technology, Hamburg, Germany, in 1991 and 1994, respectively.

From 1986 to 1991, he was a Research Assistant with the Hamburg University of Technology, and from 1991 to 1995, he was a Senior Scientist with the Microelectronics Applications Center Hamburg. From 1996 to 1997, he was with the University of Kiel, Germany, and from 1997 to 1998, he was with the University of Western Australia. In 1998, he joined the University of Wollongong, Australia, where he was an Associate Professor of Electrical Engineering. From 2003 to 2006, he was a Professor in the Faculty of Mathematics and Science, University of Oldenburg, Germany. In November 2006, he joined the University of Lübeck, Germany, where he is a Professor and Director of the Institute for Signal Processing. His research interests include speech, audio, and image processing, wavelets and filter banks, pattern recognition, and digital communications. **Sarah Spence Adams** (M'03) received the B.S. degree in mathematics (*summa cum laude*) from the University of Richmond, VA, and the M.S. and Ph.D. degrees in mathematics from Cornell University, Ithaca, NY.

She was also a member of the Wireless Intelligent Systems Laboratory, Department of Electrical and Computer Engineering, Cornell University. Previous experience includes appointments with the Institute for Defense Analyses, Center for Communications Research, and the National Security Agency. She is currently an Associate Professor with the Franklin W. Olin College of Engineering, Needham, MA.

Dr. Adams is a member of the American Mathematics Society and the Association for Women in Mathematics. Within the Mathematical Association of America (MAA), she serves on committees involving undergraduate programs and research. She is an ExxonMobil Fellow in MAAs Project New Experiences in Teaching (NExT).